



Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE  
  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
  
(Use several sheets if necessary)  
(37 CFR 1.98(b))

<u>Reissue Application</u>	
ATTY. DOCKET NO.	SERIAL NO.
96700/510	Not Yet Assigned
APPLICANT S	
Stanley M. Crain and Ke-Fei Shen	
FILING DATE	GROUP
Herewith	

U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

OTHER DOCUMENTS (Including Author, Title, Date~, Relevant Pages, Place of Publication)

9/16/2023

(8)	Shen and Crain, <u>Regulatory Peptides</u> , in press (1993). <span style="float: right;">000</span>
(9)	Shen and Crain, <u>Brain Research</u> , 597:74-83 (1992). <span style="float: right;">000</span>
(10)	Shen and Crain, <u>Brain Research</u> , 575:13-24 (1992). <span style="float: right;">000</span>

## EXAMINER

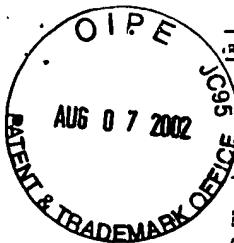
James H. Lammie

**DATE CONSIDERED**

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(Information Disclosure Statement - Section 2, FORM PTO-1449 (Modified) [6-1]  
Page 1 of 17)



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FORM 6.

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**APPLICANT'S**

Stanley M. Crain and Ke-Fei Shen

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U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

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OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*)

97801 (11) Wang, et al., Chinese J. Pharm. Toxicol., 6:36-40 (1992) and English translation thereof.

97802 (12) Bo-Yi, Quin, New Drugs and Clinical Remedies, 12:119-123 (1992) and English translation thereof.

97803 (13) Lange, et al., Toxicol. Applied Pharm., 54:177-186 (1980).

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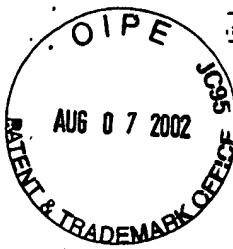
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XAMINER: Initials: JHK

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page 2 of 17 )



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FORM 5-1

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Section 2. Form PTO-1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
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9/28/91	(14) Terwillinger, et al., <u>Brain Research</u> , 548:100-110 (1991). [ ] [ ]
9/28/91	(15) Shen, et al., <u>Brain Research</u> , 559:130-138 (1991). [ ] [ ]
9/28/91	(16) Crain and Shen, <u>Trends Pharmacol. Sci.</u> , 11:77-81 (1990). [ ] [ ]

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James H. Lane

**DATE CONSIDERED**

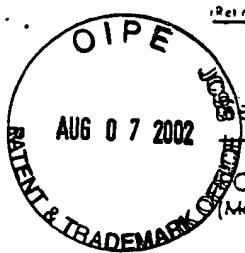
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9/28 (17) Fujimoto, et al., Neuropharmacol., 29:609-617 (1990).

9/28 (18) Shen and Crain, Brain Research, 531:1-7 (1990). TECH CENT

9/28 (19) Shen and Crain, Brain Research, 491:227-242 (1989). AUG

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EXAMINED BY James H. Cleaver

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9/14 (21) Magnan, et al., Nauyn-Schmiedelberg's Arch. Pharmacol., 319:197-205

9/14 (22) Bentley and Hardy, J. American Chem. Soc., 89:3281-3286 (1967).

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MINER: James Blawie

**DATE CONSIDERED**

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Section 2. Form PTO - 1449 (Modified)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT S Stanley M. Crain and Ke-Fei Shen	
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U.S. PATENT DOCUMENTS

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:**

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication, etc.)**

*9/20/2023* (23) Bentley and Hardy, Proc. Chem. Soc., p. 220 (1963).

*9/20/2023* (24) Holmes and Fujimoto, Anesth. Analg., 77:1166-1173 (1993).

*9/20/2023* (25) Miaskowski and Levine, Brain Research, 596:41-45 (1992).

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ER James Alman

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(Information Disclosure Statement — Section 2, FORM PTO - 1449 (Modified) (6-1)  
page 6 of 17



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		GROUP	

U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

9/11 (26) Vaccarino, et al., Pain, 36:103-109 (1989).

9/11 (27) Cappell, et al., Pharmacology Biochemistry & Behavior, 34:425-427 (1989).

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EXAMINER James H. Weaver  
EXAMINER: Initials: JHW

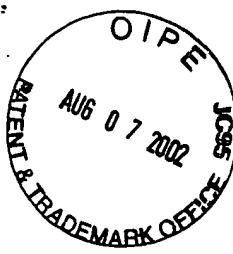
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U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*)

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9/11/98 (33) Gardner, Substance Abuse, 2d ed., pp. 70-99 (1992).

9/11/98 (34) Shen and Crain, Brain Res., 636:286-297 (1994).

9/11/98 (35) Greenstein, et al., Subst. Abuse, 2d ed., pp. 562-573 (1992).

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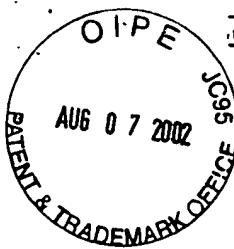
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page 8 of 17 )



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Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		Reissue Application SERIAL NO. 96700/510 Not Yet Assigned	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b))		APPLICANT'S Stanley M. Crain and Ke-Fei Shen	FILING DATE Herewith
		GROUP	

U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication, etc.)

9782 (36) Gonzales, et al., Drugs, 35:193-213 (1988).

9782 (37) Shen and Crain, J. Neurosci., 14:5570-5579 (1994).

9782 (38) Blane, et al., Brit. J. Pharmacol. Chemother., 30:11-22 (1967).

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James H. Laram  
WEDNESDAY

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Initial citation 10/22/02  
EXAMINER: Initial citation considered. Draw line through citation if not in confor-  
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(Information Disclosure Statement — Section 2, FORM PTO - 1449 (Modified) [6-1]—  
page 9 of 17)

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Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		Reissue Application	
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		FILING DATE Herewith	GROUP
		(37 CFR 1.98(b))	

U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

9/18/88 (42) Gillman, et al., South African J. Science, 83:560-563 (1987).

9/18/88 (43) Pederson, et al., Brit. J. Anaesth., 57:1045-1046 (1985).

9/18/88 (44) Schmidt, et al., Anesthesia, 40:583-586 (1985).

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James H. Blaser  
YAMINER, Inc., Inc.

**DATE CONSIDERED**

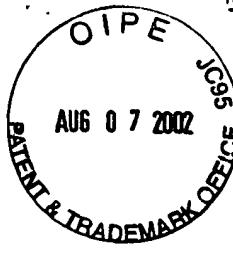
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(Information Disclosure Statement — Section 2, FORM PTO - 1449 (Modified) [6-1]  
page 11 of 17)

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Stanley M. Crain and Ke-Fei Shen	
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U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

1	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*)

9/18/91 (45) Bergman, et al., Arch. Int. Pharmacodyn., 291:229-237 (1988).

9/18/91 (46) Levine, et al., J. Clin. Invest., 82:1574-1577 (1988).

9/18/91 (47) Crain and Shen, J. Pharmacol. Exp. Ther., 260:182-186 (1992).

9/18/91 (48) Goldberg, et al., Science, 166:1548-1549 (1969).

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James Gleason | DATE CONSIDERED 10/22/02  
EXAMINER: Initial citation considered. Draw line through citation if not used.

(Information Disclosure Statement — Section 2, FORM PTO - 1449 (Modified) [6-1]—  
page 12 of 17

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Section 2. Form PTO - 1449 (Modified)

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U.S. PATENT DOCUMENTS

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:**

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication, etc.)

11. References

MR (50) Lasagna, Proc. Royal Soc. Med., 58(II):978-983 (1965).

MR (51) Taiwo, et al., J. Pharm. Exp. Therapeutics, 249:97-100 (1989).

MR (52) Arts, et al., Pharm. Biochemistry Behavior, 46:623-629 (1993).

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EXAMINER	DATE CONSIDERED
<i>James McLean</i>	10/22/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(Information Disclosure Statement — Section 2, FORM PTO-1449 (Modified) [6-1]-  
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U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

9/11 (53) Horan, et al., J. Pharm. Exp. Therapeutics, 265:1446-1454 (1993).

9/11 (54) Kayser, et al., Brain Research, 371:37-41 (1986).

9/11 (55) Takemori, et al., J. Pharm. Exp. Therapeutics, 266:121-124 (1993).

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INTER: James Gleason DATE CONSIDERED 10/22/02  
INTER: Initial citation

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(Information Disclosure Statement - Section 2, FORM PTO - 1449 (Modified) [6-1]  
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988 (57) Buchsbaum, et al., Nature, 270:620-622 (1977).

988 (58) Levine, Nature, 278:740-741 (1979).

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James A. Meamer

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9/28 (59) Gillman, et al., European J. Pharmacol., 61: 175-177 (1980).

9/28 (60) Levine, Brain Research, 365:377-378 (1986).

9/28 (61) Budd, K., Ballieres Clin. Anesthesiology, 1:993-1011 (1987).

SCANNER

James McLean DATE CONSIDERED 10/22/02 FBI:Initials: [Signature]

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(62) Gillman and Lichtigfield, South African Med. J., 70:650-651 (1986).

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James H. Reamer  
INER: Initials and  
Signature

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